

SUPPLEMENTAL MATERIAL

Quaternary sodic and potassic intraplate volcanism in northeast China controlled by the underlying heterogeneous lithospheric structures

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INTRODUCTION

This supplemental material provides the detailed descriptions related to the seismic data (Table S1), seismic tomography methods (Figs. S1-S7 and Figs S9-S11), and resolution analyses including the traditional checkerboard test of phase velocity maps (Fig. S8) and synthetic restoring test of Vs models (Fig. S12). Seismic products generated in this study, consisting of the station-paired Rayleigh-wave phase velocity dispersion data used for the ambient noise tomography, intermediate 2-D Rayleigh-wave phase velocity models, and the final 3-D S-wave velocity model are all archived in the Seismic Array Laboratory, IGGCAS (doi:10.12129/IGGSL.Data.Observation, <http://www.seislab.cn/>), which can be downloaded via <ftp://159.226.119.161/data/NECsaid/NE-China-Tomo-Data>.

SEISMIC DATA

Seismic waveforms used in this study are collected from one permanent network and seven portable arrays, consisting of 145 permanent CEA stations (Zheng et al., 2010), 127 portable NECESSArray stations (Tao et al., 2014), 60 portable NECsaids stations (Wang et al., 2016), 40 portable NECsaids3 stations (Zhang et al., 2020), 42 portable WDLC stations (Lu et al., 2020), 20 portable DXAL stations (Peng et al., 2019), 6 portable XM stations (Zhang and Wu, 2019), and 6 portable NK stations (Ri et al., 2016). Detailed information about the number of stations and operating time range for each network is summarized below in Table S1.

<i>Network name</i>	<i>Number of stations</i>	<i>Operating time range</i>
CEA	145	2009.09-2018.06
NECESSArray	127	2009.09-2011.08
NECsaidS	60	2010.07-2017.09
NECsaidS3	40	2016.10-2018.10
WDLC	42	2015.06-2018.05
DXAL	20	2015.07-2017.06
XM	6	2015.07-2017.05
NK	6	2013.08-2015.10

Table S1. Detailed information about the seismic data used in this study.

41 **AMBIENT NOISE TOMOGRAPHY**

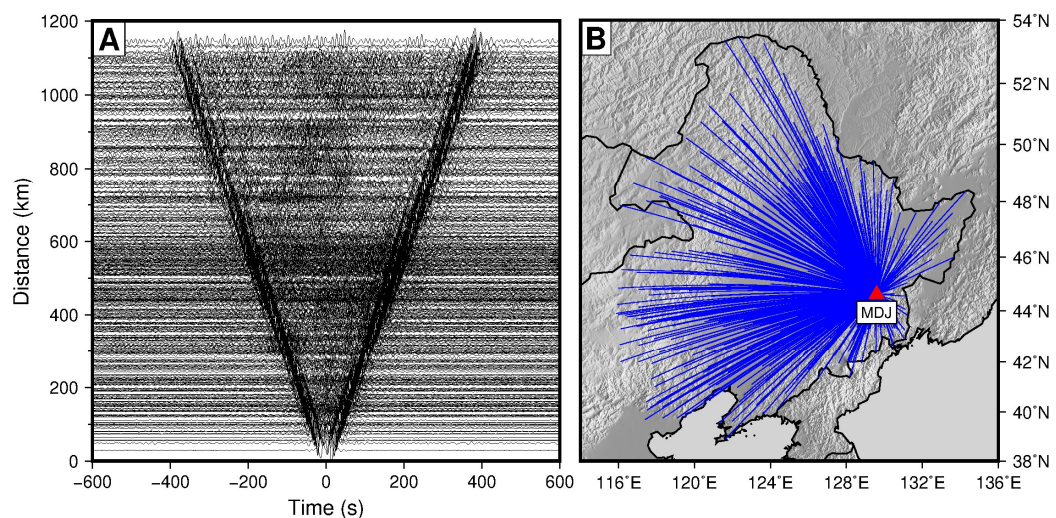
42 To extract fundamental mode Rayleigh-wave Empirical Green's Functions (EGFs) from the
43 cross-correlations of ambient noise between pairs of stations, we adopt the data processing
44 procedures previously described by Bensen et al. (2007) in detail. Here we briefly outline the
45 major steps. For each station, vertical components of the raw continuous data are cut to daily
46 segments and then decimated to 1 Hz. A band-pass filter of 2-100 s is applied to the resulting
47 data after the corresponding instrument responses are removed. Running average time
48 domain normalization and spectral whitening are employed to remove the effects of
49 significant earthquakes, possible instrumental irregularities, and also broaden the bandwidth
50 of the ambient noise. Daily ambient noise cross-correlations for each station pair are
51 computed in the frequency domain and then stacked to obtain the final station-paired cross-
52 correlation. Figure S1A shows an example of cross-correlation records between station MDJ
53 (red triangle in Figure S1B) and all other stations. Clear Rayleigh-waves emerging in both the
54 positive and negative lags with a nearly symmetric shape as shown in Figure S1A testify the
55 high quality of our retrieved ambient noise cross-correlations.

56 In order to enhance the signal-to-noise ratio (SNR), positive and negative lags of the final
57 station-paired cross-correlation are combined together to produce the so-called "symmetric
58 component" (Bensen et al., 2007). Then, we perform the automatic frequency-time analysis
59 (Levshin and Ritzwoller, 2001) to determine the phase velocity dispersion curves at the period band
60 of 3-60 s. Phase velocity measurements with the SNR (as defined in Bensen et al. (2007)) smaller
61 than 15 and the interstation spacing less than two wavelengths are discarded prior to further
62 analysis. 2-D phase velocity maps are then generated utilizing a ray theory-based tomography
63 method developed by Barmin et al. (2001). This tomographic method is based on minimizing a
64 penalty function that depends on the smoothing damping parameter α , path density damping
65 parameter β , and Gaussian smoothing parameter σ . During the inversion, these regularization
66 parameters are chosen to optimize the agreement with the maps derived from the following
67 earthquake tomography at overlapped periods. In this study, after a series of inversion tests, we
68 set the values of α , β , and σ to be 600, 1, and 80. After conducting the trial inversion to obtain
69 overly smoothed phase velocity maps, we compute the travel-time residual for each ray path and

70 the outliers with travel-time residual larger than the two-standard-deviation value are rejected
 71 before the final inversion.

72 Figure S2A shows the number of ray paths as a function of period for the final inversion. The
 73 number of ray paths is larger than 10,000 throughout the period band and reaches the peak of
 74 around 65,000 at 15 s. The travel-time residual distribution at the period of 20 s, which centers
 75 near zero with a small standard deviation (STD less than 1 s) as shown in Figure S2B, statistically
 76 indicates that unrealistic measurements and significant outliers have been effectively removed.
 77 Phase velocity maps at selected periods (6 s, 10 s, 20 s, 30 s, 40 s and 50s) resulted from the
 78 ambient noise tomography are displayed in Figure S3. Additionally, six raw dispersion curves
 79 running through the CBS, JPH, ABG, HLH, NMH, and WDLC volcanoes are plotted in Figure S4. We
 80 can see that the phase velocities sampling the potassic volcanoes (NMH and WDLC) is
 81 systematically higher than the phase velocities sampling the sodic volcano (CBS, JPH, ABG and HLH),
 82 which is consistent with the 2-D phase velocity maps (Fig. S3) showing generally high-velocity
 83 anomalies around the potassic volcanoes and low-velocity anomalies surrounding the sodic
 84 volcanoes.

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86

87 **Figure S1.** (A) An example of ambient noise cross-correlations between station MDJ (red
 88 triangle in B) and all other stations. (B) Corresponding inter-station ray paths between station
 89 MDJ and all other stations.

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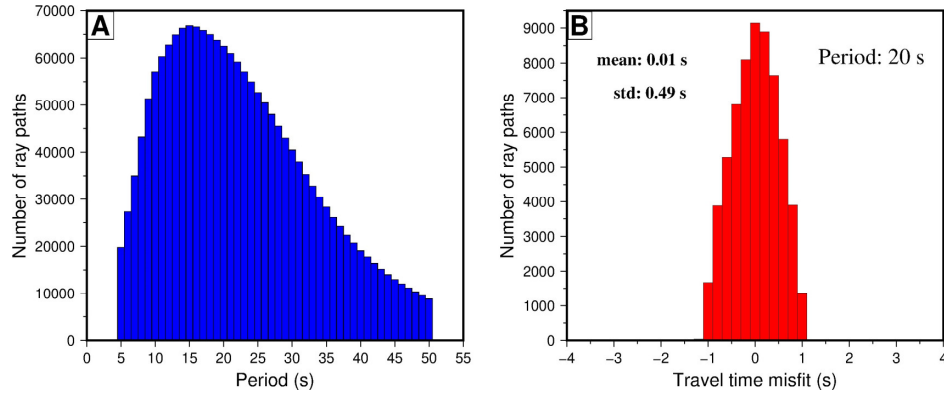


Figure S2. (A) Number of ray paths for the final inversion of ambient noise tomography as a function of period. (B) Travel-time residual histogram at the period of 20 s resulted from the final inversion of ambient noise tomography.

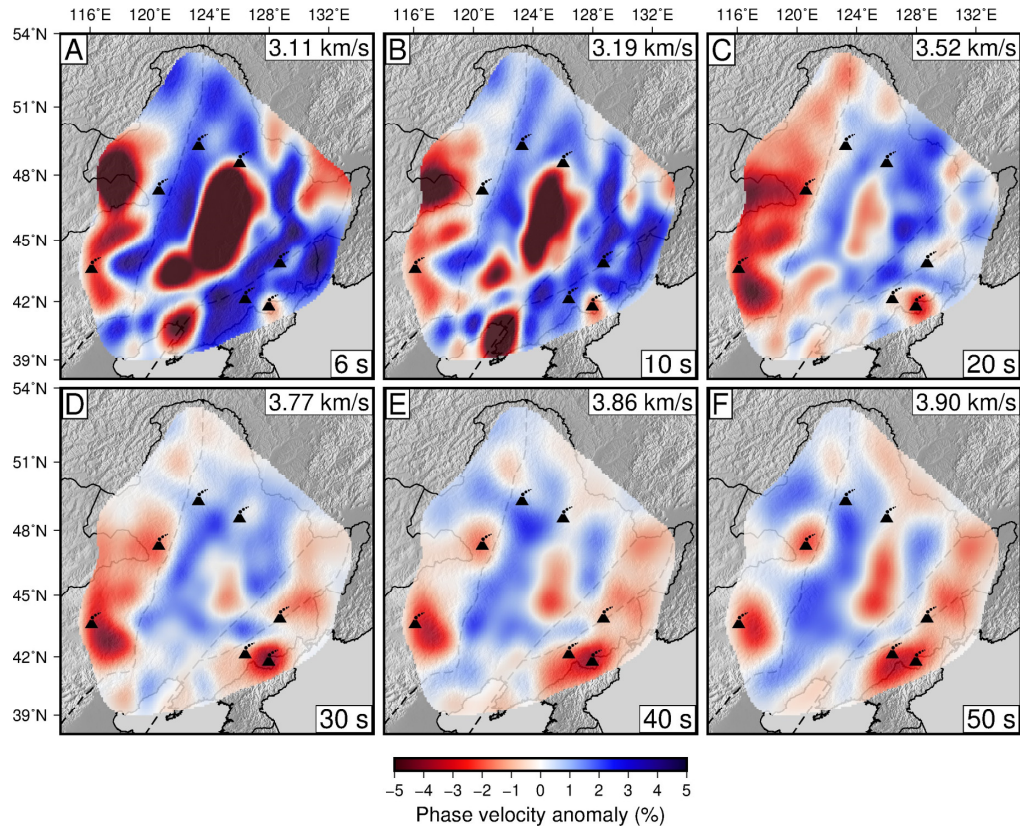
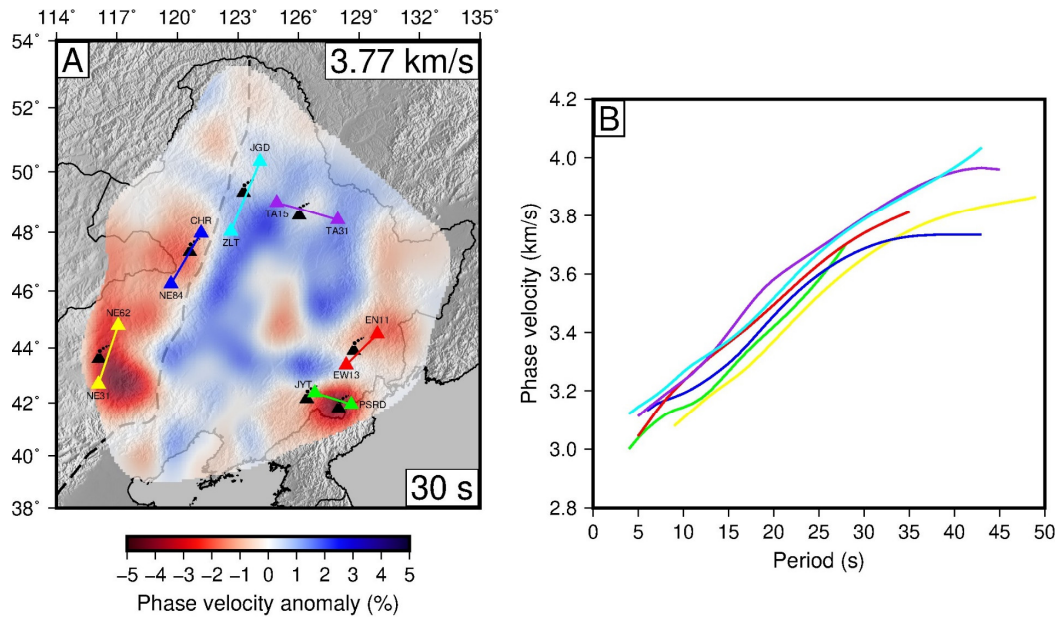


Figure S3. Phase velocity anomaly maps derived from the ambient noise tomography. The dashed lines are the North-South Gravity Lineament and Tanlu Fault as shown in Figure 1A. The black volcano symbols mark the Quaternary intraplate volcanoes with the distribution of their volcanic outcrops shown in Figure 1A. In each panel, the period and corresponding average phase velocity are labeled at the bottom-right and top-right corners, respectively.



103
 104 **Figure S4.** (A) shows the surface locations of the selected six station pairs (color coded lines)
 105 with their corresponding phase velocity dispersion curves plotted in (B). The background color
 106 image displayed in (A) is the phase velocity anomaly map at 30 s derived from the ambient
 107 noise tomography (Fig. S3D).
 108

EARTHQUAKE TWO-PLANE WAVE TOMOGRAPHY

To extend the phase velocity range and therefore increase the resolvable depth of our V_s model, we perform the two-plane wave tomography (Forsyth and Li, 2005; Yang and Forsyth, 2006) to derive the fundamental mode Rayleigh-wave phase velocity maps at periods between 30 s and 143 s using teleseismic surface wave data. The two-plane wave tomography simulates the wavefield across the study region by the interference of two incoming plane waves (Yang and Forsyth, 2006). A 2-D finite frequency phase sensitivity kernel (Zhou et al., 2004) is employed to account for the sensitivities of surface waves to structural heterogeneities. The Gaussian length value used to smooth the 2-D sensitivity kernels, which has great effects on the model resolution and variance, is set as 80 km in this study to optimize the agreement with the maps derived from the previous ambient noise tomography at overlapped periods. A total of 629 earthquakes (Fig. S5A) with epicentral distance between 30° and 120° , magnitude greater than 6.0, and focal depth less than 50 km are collected to retrieve the fundamental mode Rayleigh-waves. Vertical seismograms with the SNR of teleseismic Rayleigh-wave signals less than 10 are discarded prior to further analysis. The selected earthquakes as shown in Figure S5A display a good azimuthal coverage in general with a slightly poor coverage to the east.

Since the assumption of two incoming plane waves would break down if the simulated single wavefield becomes too large (e.g., larger than $1,000 \times 1,000 \text{ km}^2$ in this study), we divide our study region into four subregions and then model the incoming wavefield in each subregion using two plane waves. The whole study area is parameterized using a 2-D spatial grid with a node spacing of 0.5° . The inversion for 2-D phase velocity maps is invoked twice. First, we invert for the average phase velocity at each period and use it to calculate the updated 2-D sensitivity kernels. Second, we remove the outliers with phase travel-time misfit larger than 5 s from the surface wave dataset and then perform the inversion again to obtain the final 2-D phase velocity maps. The number of ray paths as a function of period retained after data selection is shown in Figure S5B. The number of remaining paths is larger than 4,000 at all periods and reaches the peak of around 20,000 at 45 s. Figure S6 exhibits the phase velocity maps at selected periods (30 s, 40 s, 60 s, 83 s, 111 s and 143 s) derived from the earthquake two-plane wave tomography.

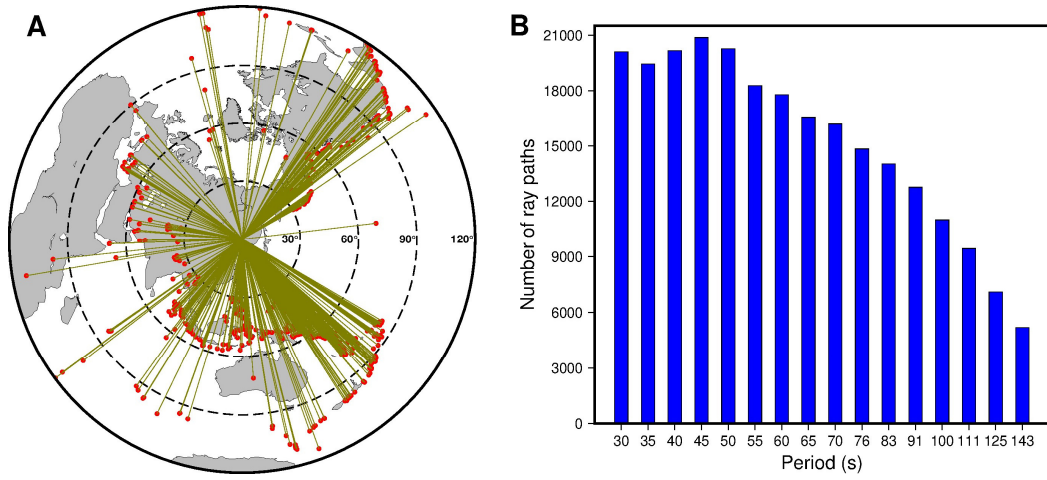
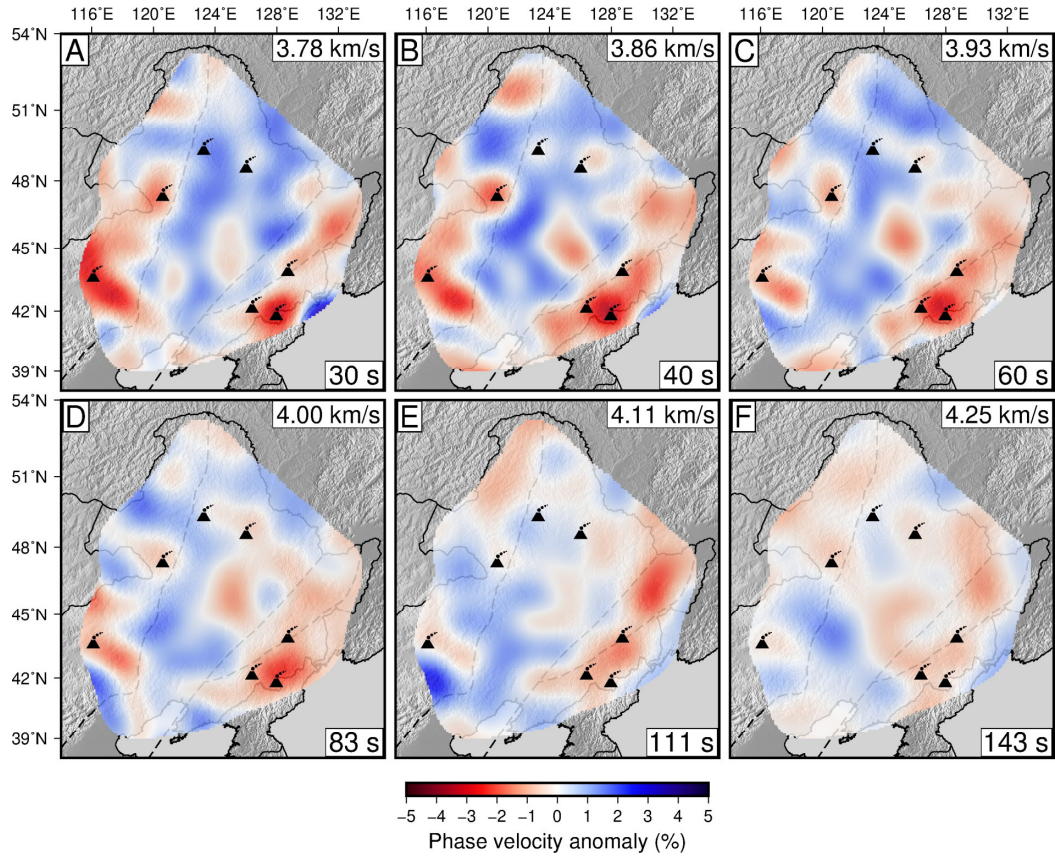


Figure S5. (A) Azimuthal distribution of teleseismic earthquakes (red dots) used in the two-plane wave tomography. (B) Number of ray paths for the two-plane wave tomography as a function of period.



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 144 **Figure S6.** Phase velocity anomaly maps derived from the earthquake two-plane wave
 145 tomography. The dashed lines are the North-South Gravity Lineament and Tanlu Fault as
 146 shown in Figure 1A. The black volcano symbols mark the Quaternary intraplate volcanoes with
 147 the distribution of their volcanic outcrops shown in Figure 1A. In each panel, the period and
 148 corresponding average phase velocity are labeled at the bottom-right and top-right corners,
 149 respectively.

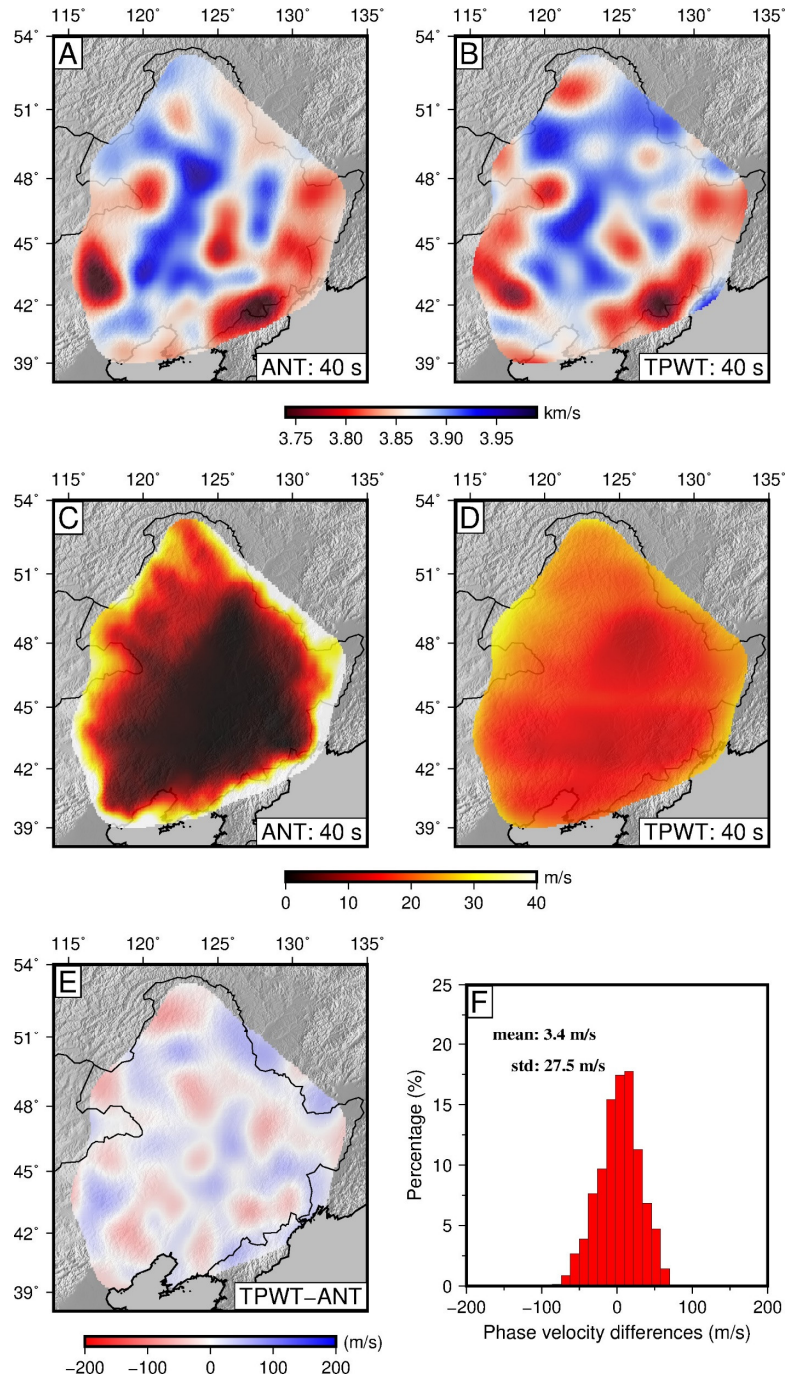
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152 **COMPARISON OF PHASE VELOCITY MAPS AT OVERLAPPED PERIODS**

153 We compare the phase velocity maps derived from the ambient noise and earthquake
154 two-plane wave tomography, and find they are quite consistent with each other. Figure S7
155 displays the comparison of phase velocity and corresponding uncertainty between the two
156 different methods at the overlapped period of 40 s. The mean difference and the standard
157 deviation of the phase velocity difference between the two maps are 3.4 m/s and 27.5 m/s,
158 respectively (Fig. S7F). The uncertainty for the ambient noise tomography (Fig. S7C) increases
159 considerably towards the periphery of the study region due to reduced crossing ray coverage,
160 whereas the uncertainty for the earthquake two-plane wave tomography (Fig. S7D) is generally
161 evenly distributed throughout the study region since the used earthquakes that generate the
162 incoming rays are located outside the study region from all directions.

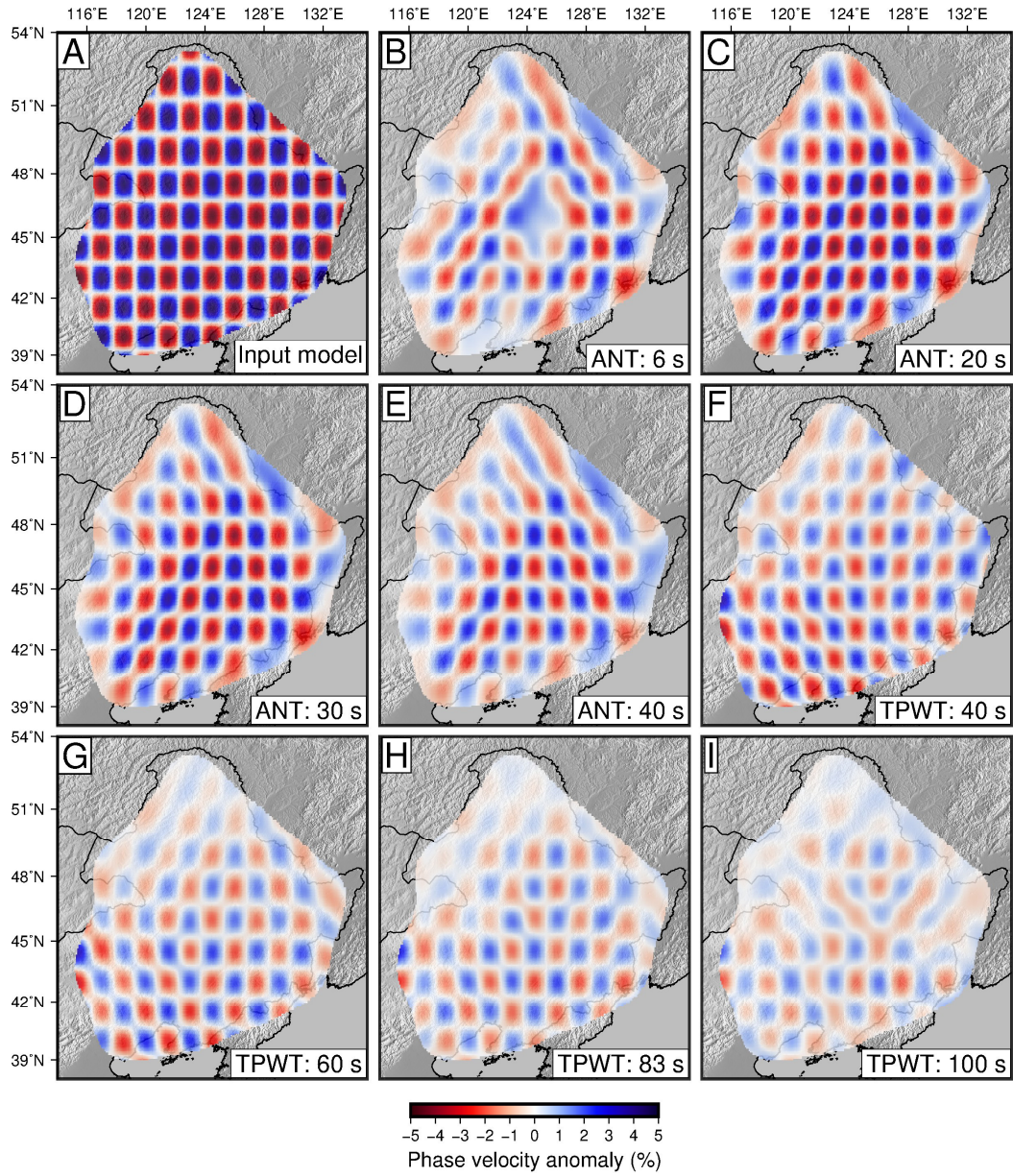
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 165 **Figure S7.** Comparison between the 40 s phase velocity maps derived from the ambient noise
 166 tomography (A) and earthquake two-plane wave tomography (B) with the corresponding
 167 uncertainty maps shown in (C) and (D). (E) The difference of phase velocity maps between the
 168 two methods (ambient noise tomography subtracted from earthquake two-plane wave
 169 tomography). (F) Histogram of phase velocity differences between the two methods. The
 170 mean difference and standard deviation of the difference are indicated inside the panel.

171 **CHECKERBOARD RESOLUTION TEST**

172 Conventional checkerboard tests are conducted to evaluate the resolution of our inverted
173 phase velocity models (Fig. S8). The input model (Fig. S8A) consists of alternating positive and
174 negative velocity anomalies of $\pm 5\%$ with a cell size of $1.5^\circ \times 1.5^\circ$ (corresponding to anomaly
175 with the spatial dimension of around $150 \text{ km} \times 150 \text{ km}$). The ray path distribution for the
176 synthetic computation of phase velocities and regularization employed in the synthetic
177 inversion are all kept the same as the real inversion. For the ambient noise tomography, the
178 input model is largely recovered throughout the period band with some smearing effects
179 observed at the edges of the study region owing to the relatively insufficient ray coverage (Figs.
180 S8A-S8E). For the earthquake two-plane wave tomography, the input model is generally well
181 recovered, although the magnitude of anomaly and model resolution degrade noticeably as
182 period increases (Figs. S8F-S8I), which can be explained by the depreciated structural
183 sensitivity of Rayleigh-waves at longer periods.



184
 185 **Figure S8.** Checkerboard test for the ambient noise tomography (ANT) and earthquake two-
 186 plane wave tomography (TPWT). (A) Input model consisting of velocity anomalies of $\pm 5\%$ with
 187 a cell size of $1.5^\circ \times 1.5^\circ$. (B-E) Recovered models for the ambient noise tomography at different
 188 periods. (F-I) Recovered models for the earthquake two-plane wave tomography at different
 189 periods.

190
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192 **S-WAVE VELOCITY STRUCTURE INVERSION**

193 ***Inversion approach and model parameterization***

194 Local dispersion curve at each $0.5^\circ \times 0.5^\circ$ grid node is extracted by combining phase
195 velocities derived from the ambient noise and earthquake two-plane wave tomography at the
196 period band of 6-143 s. For periods shorter than 40 s and longer than 50 s, the corresponding
197 phase velocities are purely taken from the ambient noise and earthquake two-plane wave
198 tomography, respectively. At the overlapped period band of 40-50 s, we take a linearly
199 weighted average of phase velocities from the two datasets. This means that as period
200 increases from 40 to 50 s, the relative weights assigned to the ambient noise data and
201 earthquake two-plane wave data linearly decrease from 1 to 0 and increase from 0 to 1,
202 respectively. After performing two tests with only one or the other phase velocity chosen from
203 the two datasets at the 40-50 s period band in the V_s inversion, we found that the two resultant
204 V_s images are both nearly identical to the previous images (Figs. 2 and 3) without any
205 noticeable differences. This implies that the weighting scheme invoked at the overlapped
206 period band actually has little effect on the final V_s inversion results, since the phase velocity
207 structures derived from the two methods are very similar to each other at overlapped periods
208 (Figs. S7A and S7B) and the standard deviation of the difference between the two maps (Fig.
209 S7F) is generally comparable to the standard deviation of each individual method (Figs. S7C
210 and S7D).

211 1-D V_s profile beneath each individual node is obtained by inverting the extracted local
212 dispersion curve with a Markov chain Monte Carlo inversion algorithm (Guo et al., 2016). The
213 1-D V_s structure is parameterized as a sedimentary layer, a crystalline crustal layer and a
214 mantle layer down to 300-km depth with four and five B-spline coefficients employed to
215 describe the velocity variations in the crystalline crust and upper mantle, respectively. The
216 thicknesses of the sedimentary and crystalline crustal layers are set as unknowns and inverted
217 simultaneously with the S-wave velocities. During the inversion, the priori searching range of
218 S-wave velocity is set to be $\pm 20\%$ relative to the starting PREM model (Dziewonski and
219 Anderson, 1981). The initial thickness of the sedimentary layer is retrieved from the CRUST1.0
220 model (Laske et al., 2013), and the Moho is allowed to vary ± 3 km from the starting model

221 constrained by a previous receiver function study of He et al. (2014). Crustal V_p/V_s ratio is also
222 taken from He et al. (2014), and in the upper mantle, V_p/V_s ratio is set as a constant of 1.732.
223 Then, the local 1-D V_p profile is scaled from the V_s structure based on the V_p/V_s ratio. Local 1-
224 D density profile is related to V_p using the Birch's law (Birch, 1961). Physical dispersion
225 correction is applied to our V_s model based on the attenuation (Q) values from the PREM
226 model (Dziewonski and Anderson, 1981). One additional constraint is that the velocity jump
227 across the Moho is required to be positive (i.e. mantle $V_s >$ lower crustal V_s). For each grid
228 node, the resulting 1-D V_s model is determined from the statistics of the posterior probability
229 density function (PDF) using the last 3,000 accepted models in the Markov chain.

230

231 ***1-D and 3-D V_s models***

232 Examples of three inverted 1-D V_s profiles and corresponding posterior PDFs at selected
233 points located at the CBS, WDLC and SLB (green dots in Figure 2F) are plotted in Figures S9B,
234 S9D and S9F, respectively. Predicted surface wave dispersion curves are shown as thin gray
235 lines along with the observations represented by blue and red dots for the ambient noise and
236 earthquake two-plane wave tomography, respectively (Figs S9A, S9C and S9E). For comparison,
237 the inverted 1-D V_s profiles for the three selected grid nodes, and the regional averaged 1-D
238 V_s profile are depicted in Figure S10. As illustrated in Figure S10, 1-D V_s profile beneath the
239 CBS volcano shows significant low velocities both in the crust and upper mantle compared to
240 the regional average, whereas 1-D V_s profile beneath the WDLC volcano is generally close to
241 the regional average. The final 3-D V_s model of NE China is constructed by assembling all the
242 1-D V_s profiles in the study region. Vertical profiles (with the surface locations indicated in
243 Figure 1A) of V_s perturbations calculated relative to the regional average (black line in Figure
244 S10) is plotted in Figure S11. Horizontal slices and cross sections of absolute V_s are displayed
245 in the main text as Figure 2 and Figure 3, respectively.

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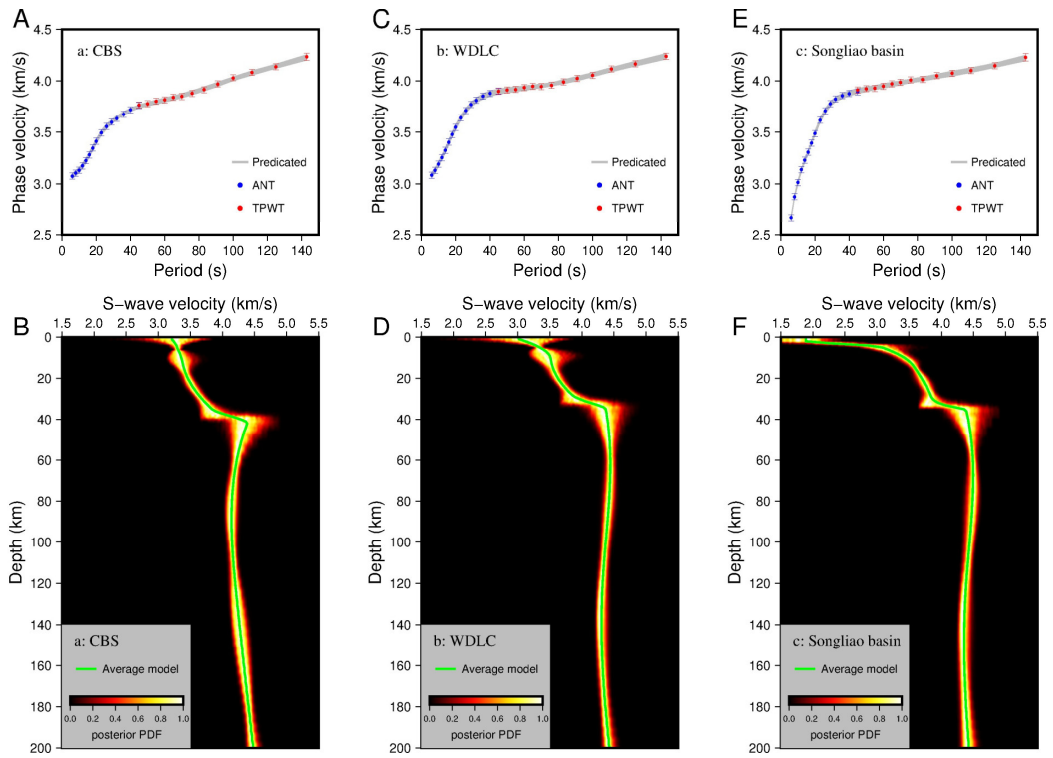


Figure S9. (A, C, E) The blue and red dots represent the observed Rayleigh-wave phase velocity dispersion data from the ambient noise and two-plane wave tomography, respectively, with their locations shown in Figure 2F (green stars). The thin gray lines display the predicted Rayleigh-wave phase velocity dispersion curves calculated from the 3,000 accepted models. (B, D, F) Ensembles of 1-D Vs models inverted from the observed Rayleigh-wave phase velocity dispersion data as shown in A, C and E, respectively. The color scale stands for the normalized posterior PDF, in which lighter color corresponds to higher probability distribution of the Vs. The thick green line represents the averaged model from the 3,000 best fit samples.

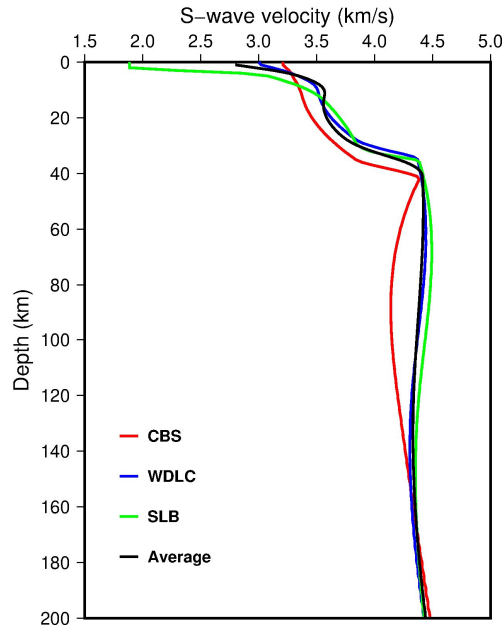


Figure S10. The red, blue and green lines represent the 1-D Vs profiles (also shown in Figures S9B, S9D and S9F) for the three grid nodes (marked as green stars in Figure 2F) located at the CBS, WDLC and SLB, respectively. The black line shows the regional average 1-D Vs profile.

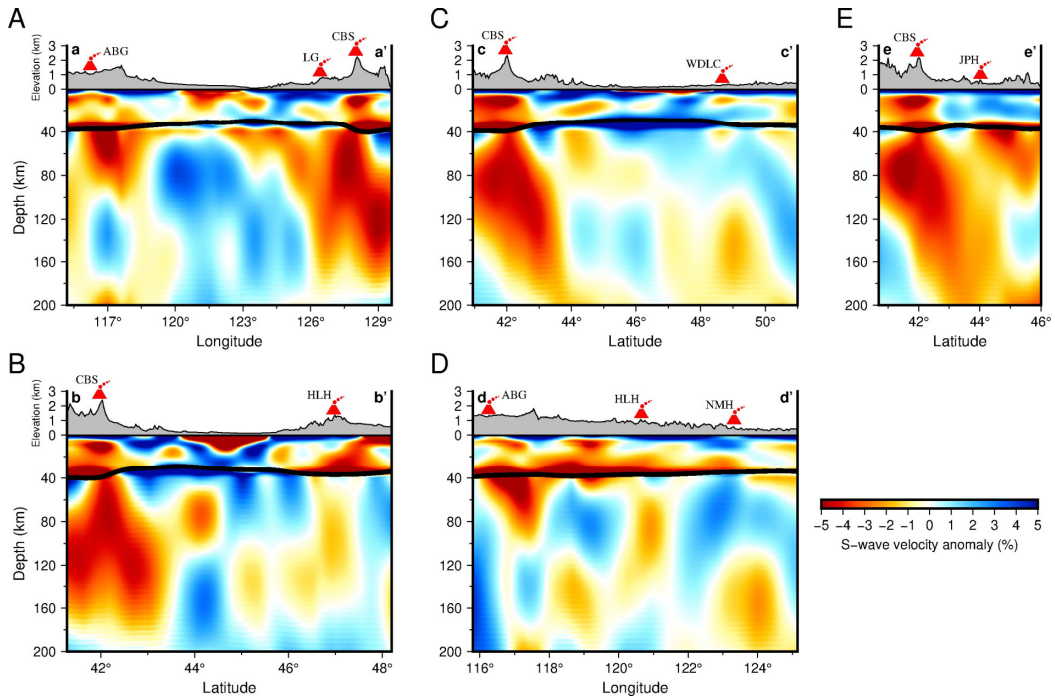


Figure S11. Cross-sectional view of S-wave velocity perturbations calculated relative to the regional average (black line in Figure S10) below the Quaternary intraplate volcanic fields in NE China. Corresponding profile locations are indicated by the purple solid lines in Figure 1A.

SYNTHETIC RESTORING TEST

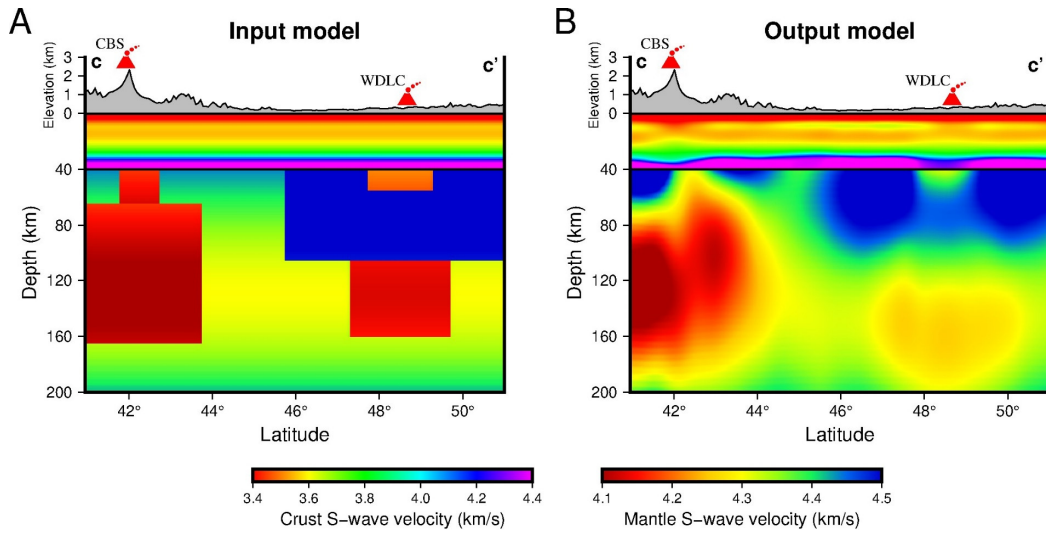
To evaluate the reliability of our Vs inversion results, we conduct a synthetic restoring test to check whether we can robustly recover the primary imaging features interpreted in this study. The input model (Fig. S12A) is designed according to the imaged low/high Vs bodies shown in Figure 3C. The amplitude of input low/high Vs bodies are calculated relative to the regional average Vs at corresponding depths along the chosen profile. The upper and lower parts of the upper mantle low-velocity shapes beneath the CBS volcano are given a 6% and 5% Vs reduction, respectively. The high-velocity lid beneath the WDLC volcano and the small-scale low-velocity body atop are set with a 4% Vs increase and 4% Vs reduction, respectively. The bottom low-velocity shape beneath the WDLC volcano is given a 4% Vs reduction as well. The crustal region (above 40 km) is set with 1-D background Vs without perturbations.

We first generate synthetic phase velocity data based on the given input model and then perform the ambient noise tomography, earthquake two-plane wave tomography, and Vs inversion consecutively using the same ray path and inversion parameters as those applied to the real data. The output model (Fig. S12B) demonstrates that the input upper mantle velocity anomalies beneath the CBS and WDLC volcanoes, including the localized low-velocity body atop the high-velocity lid beneath the WDLC volcano, can all be adequately recovered, indicating that the main features we interpret in this study are well resolved, though some horizontal and vertical smearing effects occur surrounding the input velocity anomalies. The output model (Fig. S12B) shows that the input low-velocity anomalies in the uppermost mantle beneath the CBS and WDLC volcanoes appear to “leak” into the lower crust a little bit due to vertical smearing effects. Nevertheless, the background crustal structure is generally well recovered, especially for regions without underlying upper mantle velocity anomalies (Fig. S12).

It has been well documented that surface waves are mostly sensitive to lateral variations of Vs structures. As a result, vertical (depth) resolution in surface wave tomography is relatively lower compared to horizontal resolution, especially when it comes to layered anomalies with alternating positive and negative perturbations, which is the case of our synthetic restoring test as shown in Figure S12. On the other hand, however, we can see that the recovered high-

295 velocity body (Fig. S12B) does reflect the model feature, though being visibly smeared in
 296 pattern and underestimated in amplitude compared to the input velocity anomaly (Fig. S12A).
 297 This therefore suggests that the high-velocity lid imaged beneath the WDLC is a rather robust
 298 feature, and that it may be even more prominent in reality than in the inversion result.

299



300

301 **Figure S12.** Synthetic restoring test. (A) Cross-section of the input model resembling the cc'
 302 profile as depicted in Figure 3C. The amplitude of input low/high Vs bodies are calculated
 303 relative to the regional average Vs (black line in Figure S10) at corresponding depths. (B) Cross-
 304 section of the output model, which is obtained by generating synthetic phase velocity data
 305 from the input model (A) and then performing the ambient noise tomography, two-plane wave
 306 tomography, and Vs inversion consecutively using the same ray path and inversion parameters
 307 as applied to the real data.

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